

FORM PTO-1390 (REV 11-2000)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 36-1459
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 09/889041
INTERNATIONAL APPLICATION NO. PCT/GB00/00171	INTERNATIONAL FILING DATE 24 January 2000	PRIORITY DATE CLAIMED 11 February 1999 12 February 1999 18 June 1999

TITLE OF INVENTION

ANALYSIS OF VIDEO SIGNAL QUALITY

APPLICANT(S) FOR DO/EO/US

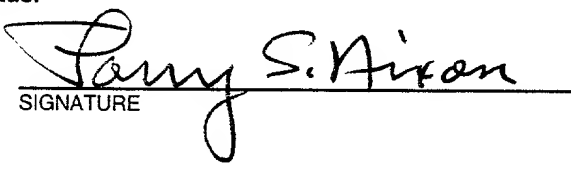
HOLLIER et al

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The U.S. has been elected by the expiration of 19 months from the priority date (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 To 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information. Amended Sheets -- pages 3-5, 13 and 15-17

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 097889041		INTERNATIONAL APPLICATION NO. PCT/GB00/00171		ATTORNEY'S DOCKET NUMBER 36-1459	
21. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5): -- Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1000.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$860.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO.....\$710.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$690.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				<div style="text-align: right;">\$ 860.00</div>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$ 0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	20	-20 =	0	X	\$18.00
Independent Claims	2	-3 =	0	X	\$80.00
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)					\$270.00
					\$ 0.00
TOTAL OF ABOVE CALCULATIONS =					\$ 860.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					0.00
SUBTOTAL =					\$ 860.00
Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).					0.00
TOTAL NATIONAL FEE =					\$ 860.00
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property				+	\$ 40.00
Fee for Petition to Revive Unintentionally Abandoned Application (\$1240.00 - Small Entity = \$620.00)					\$ 0.00
TOTAL FEES ENCLOSED =					\$ 900.00
				Amount to be:	
				refunded	\$
				Charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$900.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees. A duplicate copy of this form is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed. d. <input checked="" type="checkbox"/> The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this application.					
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: NIXON & VANDERHYE P.C. 1100 North Glebe Road, 8 th Floor Arlington, Virginia 22201-4714 Telephone: (703) 816-4000					
				 SIGNATURE	
				Larry S. Nixon NAME	
				25,640 REGISTRATION NUMBER	
				July 11, 2001 Date	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

HOLLIER et al

Atty. Ref.: **36-1459**

Serial No. **Unknown**

Group:

National Phase of: **PCT/GB00/00171**

International Filing Date: **24 January 2000**

Filed: **July 11, 2001**

Examiner:

For: **ANALYSIS OF VIDEO SIGNAL QUALITY**

* * * * *

July 5, 2001

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

PRELIMINARY AMENDMENT

Prior to calculation of the filing fee and in order to place the above identified application in better condition for examination, please amend the claims as follows:

IN THE CLAIMS

Please substitute the following amended claims for corresponding claims previously presented. A copy of the amended claims showing current revisions is attached.

1. (Amended) A method of measuring the differences between a first video signal and a second video signal, comprising the steps of:

 analysing the information content of each video signal to identify the perceptually relevant boundaries of the video images depicted therein;

 comparing the boundaries so defined in the first signal with those in the second signal; the comparison including determination of the extent to which the properties of the boundaries defined in the first image are preserved in the second image, and

generating an output indicative of the perceptual difference between the first and second signals.

2. (Amended) A method according to Claim 1, in which the information content is analysed for a plurality of boundary-identifying characteristics, and the properties of the boundaries on which the comparison is based include the characteristics by which such boundaries are defined in each of the signals.

4. (Amended) A method according to claim 2, wherein the characteristics include the presence of disparities between frames of the same signal.

5. (Amended) A method according to claim 2, wherein the characteristics include changes in at least one of the properties of: luminance, colour or texture.

6. (Amended) A method according to claim 1, in which the comparison includes a comparison of the perceptibility of corresponding boundaries identified in the first and second signals.

7. (Amended) A method according to claim 1, in which the comparison of the images includes the steps of

identification of the principal elements in each image, and

compensation for differences in the relative positions of the said principal elements.

8. (Amended) A method according to claim 1, in which the analysis includes identification of perceptually significant image features, and the output indicative of the perceptual difference between the first and second signals is weighted according to the cognitive relevance of such image features.

12. (Amended) Apparatus for measuring the differences between a first video signal and a second video signal, comprising:

analysis means for the information content of each video signal to identify the perceptually relevant boundaries of the video images depicted therein;

comparison means for comparing the boundaries so defined in the first signal with those in the second signal; the comparison including determination of the extent to which the properties of the boundaries defined in the first image are preserved in the second image,

and means for generating an output indicative of the perceptual difference between the first and second signals.

13. (Amended) Apparatus according to Claim 12, wherein the analysis means is arranged to analyse the information content in the signals for a plurality of boundary-identifying characteristics, and the comparison means is arranged to compare the characteristics by which such boundaries are defined in each of the signals.

14. (Amended) Apparatus according to claim 13, wherein the analysis means includes means to identify the presence of edges.

15. (Amended) Apparatus according to claim 13, wherein the analysis means includes means to identify the presence of disparities between frames of the same signal.

16. (Amended) Apparatus according to claim 13, wherein the analysis means includes means to identify differences in at least one of the properties of: luminance, colour or texture.

17. (Amended) Apparatus according to claim 12, in which the comparison means includes means for determining the perceptibility of the boundaries identified in the first and second signals.

18. (Amended) Apparatus according to claim 12, in which the comparison means includes image matching means for identification of the principal elements in each image and translation means for effecting translation of one image to compensate for differences in the relative positions of such elements in the first and second images.

19. (Amended) Apparatus according to claim 12, in which the comparison means includes weighting means for identifying perceptually significant image features in the components, and weighting the output according to the cognitive relevance of such image features.

20. (Amended) Apparatus according to claim 12, further comprising visual stage means for processing original input signals to emulate the response of the human visual system, to generate modified input signals for input to the analysis means.

REMARKS

Attached hereto is a marked-up version of the changes made to the claims by the current amendment. The attached page is captioned "**Version with markings to show changes made.**"

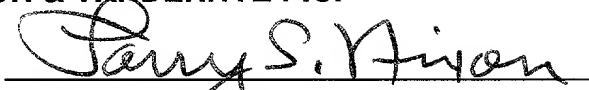
The above amendments are made to place the claims in a more traditional format.

HOLLIER et al
Serial No. **Unknown**

Respectfully submitted,

NIXON & VANDERHYE P.C.

By:

A handwritten signature in cursive script, appearing to read "Larry S. Nixon", written over a horizontal line.

Larry S. Nixon

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VERSION WITH MARKINGS TO SHOW CHANGES MADE

1. (Amended) A method of measuring the differences between a first video signal [(16)] and a second video signal [(16d)], comprising the steps of:

analysing [(31)] the information content of each video signal to identify the perceptually relevant boundaries of the video images depicted therein;

comparing [(33)] the boundaries so defined in the first signal with those in the second signal; the comparison including determination of the extent to which the properties of the boundaries defined in the first image are preserved in the second image, and

generating an output [(38)] indicative of the perceptual difference between the first and second signals.

2. (Amended) A method according to Claim 1, in which the information content is analysed for a plurality of boundary-identifying characteristics [(32, 32d)], and the properties of the boundaries on which the comparison [(37)] is based include the characteristics by which such boundaries are defined in each of the signals.

4. (Amended) A method according to claim 2 [or 3], wherein the characteristics include the presence of disparities between frames of the same signal.

5. (Amended) A method according to claim 2, [3 or 4,] wherein the characteristics include changes in at least one of the properties of: luminance, colour or texture.

6. (Amended) A method according to [any of claims 1 to 5] claim 1, in which the comparison includes a comparison of the perceptibility of corresponding boundaries identified in the first and second signals.

7. (Amended) A method according to [any preceding claim] claim 1, in which the comparison of the images includes the steps of
identification [(34)] of the principal elements in each image, and
compensation [(35)] for differences in the relative positions of the said principal elements.

8. (Amended) A method according to [any preceding claim] claim 1, in which the analysis includes identification of perceptually significant image features, and the output [(38)] indicative of the perceptual difference between the first and second signals is weighted [(36)] according to the cognitive relevance of such image features.

12. (Amended) Apparatus for measuring the differences between a first video signal [(16)] and a second video signal [(16d)], comprising:

analysis means [(31)] for the information content of each video signal to identify the perceptually relevant boundaries of the video images depicted therein;

comparison means [(33)] for comparing the boundaries so defined in the first signal [(16)] with those in the second signal [(16d)]; the comparison including determination of the extent to which the properties of the boundaries defined in the first image are preserved in the second image,

and means for generating an output [(38)] indicative of the perceptual difference between the first and second signals [(16, 16d)].

13. (Amended) Apparatus according to Claim 12, wherein the analysis means [(31)] is arranged to analyse the information content in the signals [(16, 16d)] for a plurality of boundary-identifying characteristics [(32, 32d)], and the comparison means [(33)] is arranged to compare the characteristics by which such boundaries are defined in each of the signals.

14. (Amended) Apparatus according to claim 13, wherein the analysis means [(31)] includes means to identify the presence of edges.

15. (Amended) Apparatus according to claim 13 [or 14], wherein the analysis means [(33)] includes means to identify the presence of disparities between frames of the same signal.

16. (Amended) Apparatus according to claim 13, [14 or 15,] wherein the analysis means [(33)] includes means to identify differences in at least one of the properties of: luminance, colour or texture.

17. (Amended) Apparatus according to [any of claims 12 to 16] claim 12, in which the comparison means [(33)] includes means for determining the perceptibility of the boundaries identified in the first and second signals.

18. (Amended) Apparatus according to [any of claims 12 to 17] claim 12, in which the comparison means [(33)] includes image matching means [(34)] for identification of the principal elements in each image and translation means [(35)] for effecting translation of one image [(16d)] to compensate for differences in the relative positions of such elements in the first and second images.

19. (Amended) Apparatus according to [any of claims 12 to 18] claim 12, in which the comparison means [(33)] includes weighting means [(36)] for identifying

perceptually significant image features in the components [(32, 32d)], and weighting the output [(38)] according to the cognitive relevance of such image features.

20. (Amended) Apparatus according to [any of claims 12 to 19] claim 12, further comprising visual stage means [(11,12,13,14,15)] for processing original input signals [(11)] to emulate the response of the human visual system, to generate modified input signals [(16, 16d)] for input to the analysis means [(31)].

Approved for Release by NSA on 09-08-2013 pursuant to E.O. 13526

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ANALYSIS OF VIDEO SIGNAL QUALITY

This invention relates to the analysis of the quality of video signals. It has a number of applications in monitoring the performance of video transmission equipment, either during development, under construction, or in service.

As communications systems have increased in complexity it has become increasingly difficult to measure their performance objectively. Modern communications links frequently use data compression techniques to reduce the bandwidth required for transmission. When signals are compressed for more efficient transmission, conventional engineering metrics, such as signal-to-noise ratio or bit error rate, are unreliable indicators of the performance experienced by the human being who ultimately receives the signal. For example, two systems having similar bit-error rates may have markedly different effects on the quality of the data (sound or picture) presented to the end user, depending on which digital bits are lost. Other non-linear processes such as echo cancellation are also becoming increasingly common. The complexity of modern communications systems makes them unsuitable for analysis using conventional signal processing techniques. End-to-end assessment of network quality must be based on what the customer has, or would have, heard or seen.

The main benchmarks of viewer opinion are the subjective tests carried out to International Telecommunications Union standards P.800, *"Methods for subjective determination of transmission quality"*, 1996 and P.911 *"Subjective audiovisual quality assessment methods for multimedia applications"*, 1998. These measure perceived quality in controlled subjective experiments, in which several human subjects listen to each signal under test. This is impractical for use in the continuous monitoring of a network, and also compromises the privacy of the parties to the calls being monitored. To overcome these problems, auditory perceptual models such as those of the present applicant's International Patent Specifications WO 94/00922, WO95/01011, WO95/15035, WO97/05730, WO97/32428, WO98/53589 and WO98/53590 are being developed for measuring telephone network quality. These are objective performance metrics, but are designed to relate directly to perceived signal quality, by producing quality scorings similar to those which would have been reported by human subjects.

The prior art systems referred to above measure the quality of sound (audio) signals. The present invention is concerned with the application of similar principles to video signals. The basic principle, of emulating the human perceptual system (in this case the eye/brain system instead of the ear/brain system) is still used, but video
5 signals and the human visual perceptual system are both much more complex, and raise new problems.

As with hearing, the human visual perception system has physiological properties that make some features present in visual stimuli very difficult or impossible to perceive. Compression processes, such as those established by JPEG
10 (Joint Pictures Expert Group) and MPEG (Motion Pictures Expert Group) rely on these properties to reduce the amount of information to be transmitted in video signals (moving or still). Two compression schemes may result in similar losses of information, but the perceived quality of a compressed version of a given image may be very different according to which scheme was used. The quality of the resulting
15 images cannot therefore be evaluated by simple comparison of the original and final signals. The properties of human vision have to be included in the assessment of perceived quality.

It is problematic to try and locate information from an image by mathematical processing of pixel values. The pixel intensity level becomes meaningful only when
20 processed by the human subject's visual knowledge of objects and shapes. In this invention, mathematical solutions are used to extract information resembling that used by the eye-brain system as closely as possible.

A number of different approaches to visual modelling have been reported. These are specialised to particular applications, or to particular types of video
25 distortion. For example, the MPEG compression system seeks to code the differences between successive frames. At periods of overload, when there are many differences between successive frames, this process reduces the pixel resolution, causing blocks of uniform colour and luminance to be produced. Karunasekera, A. S., and Kingsbury, N. G., in "*A distortion measure for blocking artefacts in images based on human
30 visual sensitivity*", *IEEE Transactions on Image Processing*, Vol. 4, No. 6, pages 713-724, June 1995, propose a model which is especially designed to detect "blockiness" of this kind. However, such blockiness does not always signify an error, as the effect may have been introduced deliberately by the producer of the image, either for visual

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effect or to obliterate detail, such as the facial features of a person whose identity it is desired to conceal.

If the requirements of a wide range of applications, from high definition television to video conferencing and virtual reality, are to be met, a more complex architecture has to be
5 used.

Some existing visual models have an elementary emulation of perceptual characteristics, referred to herein as a "perceptual stage". Examples are found in the Karunasekera reference already discussed, and Lukas, X. J., and Budrikis, Z. L., *"Picture Quality Prediction Based on a Visual Model"*, *IEEE Transactions on Communications*, vol.
10 *com-30*, No. 7, pp. 1679-1692 July 1982, in which a simple perceptual stage is designed around the basic principle that large errors will dominate subjectivity. Other approaches have also been considered, such as a model of the temporal aggregation of errors described by Tan, K. T., Ghanbari, M. and Pearson, D. E., *"A video distortion meter"*, *Informationstechnische Gesellschaft, Picture Coding Symposium, Berlin, September 1997*.

15 However, none of these approaches addresses the relative importance of all errors present in the image.

For the purposes of the present specification, the "colour" of a pixel is defined as the proportions of the primary colours (red, green and blue) in the pixel. The "luminance" is the total intensity of the three primary colours. In particular, different shades on a grey scale
20 are caused by variations in luminance.

According to a first aspect of the present invention, there is provided a method of measuring the differences between a first video signal and a second video signal, comprising the steps of:

analysing the information content of each video signal to identify the perceptually
25 relevant boundaries of the video images depicted therein;

comparing the boundaries so defined in the first signal with those in the second signal; the comparison including determination of the extent to which the properties of the boundaries defined in the first image are preserved in the second image, and

generating an output indicative of the perceptual difference between the first and
30 second signals.

According to a second aspect of the present invention, there is provided apparatus for measuring the differences between a first video signal and a second video signal, comprising:

analysis means for the information content of each video signal, arranged to identify
35 the perceptually relevant boundaries of the video images depicted therein;

comparison means for comparing the boundaries so defined in the first signal with those in the second signal; the comparison including determination of the extent to which the properties of the boundaries defined in the first image are preserved in the second image,

- 5 and means for generating an output indicative of the perceptual difference between the first and second signals.

The boundaries between the main elements of an image may be identified by any measurable property used by the human perceptual system to distinguish between such elements. These may include, but are not limited to, colour, luminance,
10 so-called "hard" edges (a narrow line of contrasting colour or luminance defining an outline or other boundary, such a line being identifiable in image analysis as a region of high spatial frequency), and others which will be discussed later.

The properties of the boundaries on which the comparison is based include the characteristics by which such boundaries are defined. In particular, if a boundary
15 is defined by a given characteristic, and that characteristic is lost in the degraded image, the degree of perceived degradation of the image element is dependant on how perceptually significant the original boundary was. If the element defined by the boundary can nevertheless be identified in the degraded image by means of a boundary defined by another characteristic, the comparison also takes account of
20 how perceptually significant such a replacement boundary is, and how closely its position corresponds with the original, lost, boundary.

The basis for the invention is that elements present in the image are not of equal importance. An error will be more perceptible if it disrupts the shape of one of the essential features of the image. For example, a distortion present on an edge in
25 the middle of a textured region will be less perceptible than the same error on an independent edge. This is because an edge forming part of a texture carries less information than an independent edge, as described by Ran, X., and Favardin, N., "A Perceptually Motivated Three-Component Image Model - Part II: Application to Image Compression", *IEEE Transactions on Image Processing*, Vol. 4, No. 4, pp. 713-724,
30 April 1995. If, however, a textured area defines a boundary, an error that changes the properties of the texture throughout the textured area can be as important as an error on an independent edge, if the error causes the textured characteristics of the

area to be lost. The present invention examines the cognitive relevance of each boundary, and the extent to which this relevance is preserved.

The process identifies the elements of greatest perceptual relevance, that is the boundaries between the principal elements of the image. Small variations in a property within the regions defined by the boundaries are of less relevance than errors that cause the boundary to change its shape.

Moreover, the process allows comparison of this information independently of how the principal elements of the images are identified. The human perceptual system can distinguish different regions of an image in many different ways. For example, the absence of a "hard edge" will create a greater perceptual degradation if the regions separated by that edge are of similar colour than it will if they are of contrasting colours, since the colour contrast will still allow a boundary to be perceived. The more abrupt the change, the greater the perceptual significance of the boundary.

By analysing the boundaries defined in the image, a number of further developments become possible.

The boundaries can be used as a frame of reference, by identifying the principal elements in each image and the differences in their relative positions. By using differences in relative position, as opposed to absolute position, perceptually unimportant differences in the images can be disregarded, as they do not affect the quality of the resulting image as perceived by the viewer. In particular, if one image is offset relative to another, there are many differences between individual pixels of one image and the corresponding pixels of the other, but these differences are not perceptually relevant provided that the boundaries are in the same relative positions. By referring to the principal boundaries of the image, rather than an absolute (pixel co-ordinate) frame of reference, any such offset can be compensated for.

The analysis may also include identification of perceptually significant image features, again identified by the shapes of the boundaries identified rather than how these boundaries are defined. The output indicative of the perceptual difference between the first and second signals can be weighted according to the perceptual significance of such image features. Significant features would include the various characteristics which make up a human face, in particular those which are significant in providing visual speech cues. Such features are of particular significance to the

human cognitive system and so errors such as distortion, absence, presence of spurious elements or changes in relative position are of greater perceptual relevance in those features than in others.

In an image containing text, those features which distinguish one character of a typeface from another (for example the serif on a letter "G" which distinguishes it from a "C") are perceptually significant.

An embodiment of the invention will now be described, by way of example only, with reference to the Figures, in which:

Figure 1 illustrates schematically a first, sensory emulation, stage of the system

Figure 2 illustrates the filter parameters used in the sensory emulation stage

Figure 3 illustrates schematically a second, perceptual, stage of the system

Figures 4, 5, 6 and 7 illustrate four ways in which boundaries may be perceived.

In this embodiment the measurement process comprises two stages, illustrated in Figures 1 and 3 respectively. The first – the sensory emulation stage – accounts for the physical sensitivity of the human visual system to given stimuli. The second – the perceptual stage – estimates the subjective intrusion caused by the remaining visible errors. The various functional elements shown in Figures 1 and 3 may be embodied as software running on a general-purpose computer.

The sensory stage (Figure 1) reproduces the gross psychophysics of the sensory mechanisms:

- (i) spatio-temporal sensitivity known as the human visual filter, and
- (ii) masking due to spatial frequency, orientation and temporal frequency.

Figure 1 gives a representation of the sensory stage, which emulates the physical properties of the human visual system. The same processes are applied to both the original signal and the degraded signal: these may be carried out simultaneously in parallel processing units, or they may be performed for each signal in turn, using the same processing units.

The sensory stage identifies whether details are physically perceptible, and identifies the degree to which the visual system is sensitive to them. To do so, it emulates the two main characteristics of the visual system that have an influence on the physical perceptibility of a visual stimulus:

- sensitivity of the eye/brain system
- masking effects – that is the variation in perceptual importance of one stimulus according to the presence of other stimuli.

Each of these characteristics has both a time and a space dimension, as will
5 now be discussed.

Each signal is first filtered in temporal and spatial frequency by a filter 12, to produce a filtered sequence. The values used in the filter 12 are selected to emulate the human visual response, as already discussed in relation to Figure 2. This filter allows details that are not visible to a human visual (eye/brain) system to be removed,
10 and therefore not counted as errors, while the perceptibility of details at other spatial and temporal frequencies is increased by the greater sensitivity of the human sensory system at those frequencies. This has the effect of weighting the information that the signals contain according to visual acuity.

The human visual system is more sensitive to some spatial and temporal
15 frequencies than others. Everyday experience teaches us that we cannot see details smaller than a certain size. Spatial resolution is referred to in terms of spatial frequency, which is defined by counting the number of cycles of a sinusoidal pattern present per degree subtended at the eye. Closely spaced lines (fine details) correspond to high spatial frequencies, while large patterns correspond to low spatial
20 frequencies. Once this concept is introduced, human vision can be compared to a filter, with peak (mid-range) sensitivity to spatial frequencies of around 8 cycles/degree and insensitivity to high frequencies (more than 60 cycles/degree). A similar filter characteristic can be applied in the temporal domain, where the eye fails to perceive flickering faster than about 50 Hz. The overall filter characteristic for
25 both spatial and temporal frequency can be represented as a surface, as shown in Figure 2, in which the axes are spatial and temporal frequency (measured in cycles/degree and Hertz respectively). The vertical axis is sensitivity, with units normalised such that maximum sensitivity is equal to 1.

The second aspect of vision to be modelled by the sensory stage is known as
30 “masking”, the reduced perceptibility of errors in areas of an image where there is greater spatial activity present, and the temporal counterpart of this effect decreases the visibility of details as the rate of movement increases. Masking can be understood by considering the organisation of the primary cortex, the first stage of the brain

responsible for visual processing. Each part of the cortex is sensitive to a certain region of the retina. The incoming image stream is divided into groupings (known as channels) of spatial frequency, temporal frequency and orientation. The "next stage" of the brain processes the image stream as a set of channels, each accounting for a combination of spatial/temporal frequency and orientation in the corresponding area of the retina. Once a given channel is excited, it tends to inhibit its neighbours, making it more difficult to detect other details that are close in proximity, spatial or temporal frequency, or orientation.

Masking is a measure of the amount of inhibition a channel causes to its neighbours. This information is obtained by studying the masking produced by representative samples of channels, in terms of spatial/temporal frequency and orientation characteristics. For the sensory stage to simulate activity masking, it is necessary to know the amount of activity present in each combination of spatial frequency and orientation within an image. This calculation can be performed using a Gabor function, a flexible form of bandpass filter, to generate respective outputs in which the content of each signal is split by spatial frequency and orientation. Typically, sixteen output channels are used for each output signal, comprising four spatial orientations (vertical, horizontal, and the two diagonals) and four spatial frequencies. The resulting channels are analysed by a masking calculator. This calculator modifies each channel in accordance with the masking effect of the other channels; for example the perceptual importance of a low spatial-frequency phenomenon is reduced if a higher frequency spatial phenomenon is also present. Masking also occurs in the temporal sense – certain features are less noticeable to the human observer if other effects occur within a short time of them.

Calibration of this model of masking requires data describing how spatial/temporal frequency of a given orientation decreases the visibility of another stimulus. This information cannot be obtained as a complete description as the number of combinations is very large. Therefore, the separate influence of each parameter is measured. First the masking effect of a background on a stimulus is measured according to the relative orientation between the two. Then the effect of spatial and temporal frequency difference between masker and stimulus is measured. Finally, the two characteristics are combined by interpolating between common measured points.

In a simple comparison between original and degraded frames, certain types of error, such as a horizontal/vertical shift, result in large amounts of error all over the frame, but would not be noticeable to a user. This problem can be addressed by employing frame realignment, as specified in the ITU-T *"Draft new recommendation on multimedia communication delay, synchronisation, and frame rate measurement"*,
5 *COM 12-29-E, December 1997*. However this simple method does not fully account for the subjectivity of the error, since it does not allow for other common defects such as degradation of elements in the compressed sequence.

Following the sensory stage, the image is decomposed to allow calculation of
10 error subjectivity by the perceptual stage (Figure 3), according to the importance of errors in relation to structures within the image. If the visible error coincides with a critical feature of the image, such as an edge, then it is more subjectively disturbing. The basic image elements, which allow a human observer to perceive the image content, can be thought of as a set of abstracted boundaries. These boundaries can
15 be formed by colour and luminance differences, texture changes and movement as well as edges, and are identified in the decomposed image. Even some "Gestalt" effects, which cause a boundary to be perceived where none actually exists, can be algorithmically measured to allow appropriate weighting.

These boundaries are required in order to perceive image content and this is
20 why visible errors that degrade these boundaries, for example by blurring or changing their shape, have greater subjective significance than those which do not. The output from the perceptual stage is a set of context-sensitive error descriptors that can be weighted differently to map to a variety of opinion criteria.

In some instances, a boundary may be completely absent, or a spurious
25 boundary may be present, for example when a "ghost" image is formed by multipath reflection. In this case, the presence or absence of the boundary itself is the error.

Figure 3 is a representation of the perceptual stage, which measures the subjective significance of any errors present in the image sequence. The original signal 16 and the degraded signal 16d, each filtered and masked as described with
30 reference to Figure 1, are first each analysed (either in parallel or sequentially) in a component extraction process 31 to identify characteristics of the edges or boundaries of the principal components of each image. These characteristics are supplied as inputs 32, 32d to a comparison process 33 which generates an output 38

indicative of the overall perceptual degradation of the degraded image with respect to the original image.

The components identified by the extraction process 31 may be distinguished by:

- 5 • Luminance (illustrated in Figure 4) and Colour
- Strong Edges (illustrated in Figure 5)
- Closure Effects (illustrated in Figure 6)
- Texture (illustrated in Figure 7)
- Movement
- 10 • Binocular (Stereoscopic) Disparities

These last two effects rely on phenomena relating to movement and stereoscopy, not readily illustrated on the printed page. For similar reasons, only luminance differences, and not colour differences, are illustrated in Figure 4.

Figures 4 to 7 all depict a circle and a square, the square obscuring part of
15 the circle. In each case, the boundary between the two elements is readily perceived, although the two elements are represented in different ways. In Figure 4, the circle and square have different luminance – the circle is black and the square is white. A boundary is perceived at the locations where this property changes. It will be noted that in Figures 5, 6 and 7 there are also locations where the luminance changes, (for
20 example the boundaries between each individual stripe in Figure 7) but these are not perceived as the principal boundaries of the image.

Figure 5 illustrates a boundary defined by an edge. A “strong edge”, or outline, is a narrow linear feature, of a colour or luminance contrasting with the regions on either side of it. The viewer perceives this linear feature not primarily as a
25 component in its own right, but as a boundary separating the components either side of it. In analysis of the image, such an edge can be identified by a localised high-frequency element in the filtered signal. Suitable processes identifying edges have been developed, for example the edge extraction process described by S M Smith and J M Brady in “*SUSAN – A new approach to low-level image processing*” (*Technical*
30 *Report TR95SMS1c, Oxford Centre for Functional magnetic Resonance Imaging of the Brain, 1995*).

In many circumstances a viewer can perceive an edge where no continuous line is present. An example is shown in Figure 6, where the lines are discontinuous.

The human perceptual system carries out a process known as "closure", which tends to complete such partial edges. (A further example is illustrated by the fact that none of Figures 4 to 7 actually depict a full circle. The viewer infers the presence of a circle from the four lenticular regions actually depicted in each Figure). Various
5 processes have been developed to emulate the closure process carried out by the human perceptual system. One such process is described by Kass M., Witkin A., and Terzopoulos D., "*Snakes: Active Boundary Models*", published in the *Proceedings of First International Conference on Computer Vision 1987*, pages 259-269.

"Texture" can be identified in many regions in which the properties already
10 mentioned are not constant. For example, in a region occupied by parallel lines, of a colour or luminance contrasting with the background, the individual location of each line is not of great perceptual significance. However, if the lines have different orientations in different parts of the region, an observer will perceive a boundary where the orientation changes. This property is found for instance in the orientation
15 of brushstrokes in paintings. An example is shown in Figure 7, in which the circle and square are defined by two orthogonal series of parallel bars. Note that if the image is enlarged such that the angular separation of the stripes is closer to the peak value shown in Figure 2, and the dimensions of the square and circle further from that peak value, the individual stripes would become the dominant features, instead of the
20 square and circle. It will also be apparent that if the orientations of the bars were different, the boundary between the square and the circle may become less distinct. To identify the texture content of a region of the image, the energy content in each channel output from the Gabor filters 13 is used. Each channel represents a given spatial frequency and orientation. By identifying regions where a given channel or
25 channels have high energy content, regions of similar texture can be identified.

Shapes can be discerned by the human perceptual system in other ways, not illustrated in the accompanying drawings. In particular, disparities between related images, such as the pairs of image frames used in stereoscopy, or successive image frames in a motion picture, may identify image elements not apparent on inspection
30 of a single frame. For example, if two otherwise similar images, with no discernible structure in either individual image, include a region displaced in one image in relation to its position in the other, the boundaries of that region can be discerned if the two images are viewed simultaneously, one by each eye. Similarly, if a region of

apparently random pixels moves coherently across another such region in a moving image, that region will be discernible to an observer, even though no shape would be discernible in an individual frame taken from the sequence. This phenomenon is observable in the natural world – there are many creatures such as flatfish which have colouring similar to their environment, and which are only noticeable when they move.

The component extraction process identifies the boundaries of the principal elements of both the original and degraded signals. The perceptual importance of each boundary depends on a number of factors, such as its nature (edge, colour change, texture, etc), the degree of contrast involved, and its context. In this latter category, a high frequency component to the filtered and masked signal will signify that there are a large number of individual edges present in that region of the image. This will reduce the significance of each individual edge – compare Figure 5, which has few such edges, with Figure 7, which has many more such edges.

Each individual extraction process carried out in the component splitting step 31, on its own, typically performs relatively poorly, as they all tend to create false boundaries, and fail to detect others. However, the combination of different processes increases the quality of the result, a visual object being often defined by many perceptual boundaries, as discussed by Scassellati B.M in *“High-level perceptual contours from a variety of low-level physical features”* (Master Thesis, Massachusetts Institute of Technology, May 1995). For this reason the comparison process 33 compares all the boundaries together, regardless of how they are defined except insofar as this affects their perceptual significance, to produce a single aggregated output 38.

The results 32, 32d of the component analysis 31 are passed to a comparison process 33, in which the component boundaries identified in each signal are compared. By comparing the perceptual relevance of all boundary types in the image, a measure of the overall perceptual significance of degradation of a signal can be determined, and provided as an output 38. The perceptual significance of errors in a degraded signal depends on the context in which they occur. For example, the loss or gain of a diagonal line (edge) in Figure 7 would have little effect on the viewer’s perception of the image, but the same error, if applied to Figure 5, would have a

much greater significance. Similarly, random dark specks would have a much greater effect on the legibility of Figure 6 than they would on Figure 4.

In more detail, the comparison process 33 consists of a number of individual elements. The first element identifies the closest match between the arrangements of the boundaries in the two images (34), and uses this to effect a bulk translation of one image with respect to the other (35) so that these boundaries correspond.

The next process 36 identifies features to which the human cognitive system is most sensitive, and weighting factors W are generated for such features. For example, it is possible to weight the cognitive relevance of critical image elements such as those responsible for visual speech cues, as it is known that certain facial features are principally responsible for visual speech cues. See for example Rosenblum, L.D., & Saldaña, H.M. (1996). *"An audiovisual test of kinematic primitives for visual speech perception"*. (Journal of Experimental Psychology: Human Perception and Performance, vol 22, pages 318-331) and Jordan, T.R. & Thomas, S.M. (1998). *"Anatomically guided construction of point-light facial images"*. (Technical report. Human Perception and Communication Research Group, University of Nottingham, Nottingham, U.K).

We can infer that a face is present using pattern recognition or by virtue of the nature of the service delivering the image.

The perceptual significance of each boundary in one image is then compared with the corresponding boundary (if any) in the other (37), and an output 38 generated according to the degree of difference in such perceptual significance and the weightings W previously determined. It should be noted that differences in how the boundary is defined (hard edge, colour difference, etc) do not necessarily affect the perceptual significance of the boundary, so all the boundaries, however defined, are compared together. Moreover, since the presence of a spurious boundary can be as perceptually significant as the absence of a real one, it is the absolute difference in perceptibility that is determined.

Note that degradation of the signal may have caused a boundary defined by, for example, an edge, to disappear, but the boundary may still be discernible because of some other difference such as colour, luminance or texture. The error image produced by established models (filtered and masked noise) provides an indication of the visible degradation of the image. The comparison process 37 includes a measure

of the extent to which the essential content is maintained and offers an improved measure of the image intelligibility. In comparing the boundaries (step 37), the perceptual significance of a given boundary may depend on its nature. A boundary between different textures may be less well defined than one defined by an edge, and
5 such reduced boundary perceptibility is taken into account in generating the output.

This process is suitable for great range of video quality assessment applications, where identification and comparison of the perceptual boundaries is necessary. A good example is given by very low bandwidth systems where a face is algorithmically reconstructed. This would be impossible for many of the previously
10 known visual models to assess appropriately. The comparison of perceptual boundaries also enables the assessment of synthetic representations of images such as an animated talking face, in which the features of the image that facilitate subsequent cognitive interpretation as a face are of prime importance.

CLAIMS

1. A method of measuring the differences between a first video signal (16) and a second video signal (16d), comprising the steps of:
- 5 analysing (31) the information content of each video signal to identify the perceptually relevant boundaries of the video images depicted therein;
- comparing (33) the boundaries so defined in the first signal with those in the second signal; the comparison including determination of the extent to which the properties of the boundaries defined in the first image are preserved in the second
- 10 image, and
- generating an output (38) indicative of the perceptual difference between the first and second signals.
2. A method according to Claim 1, in which the information content is analysed
- 15 for a plurality of boundary-identifying characteristics (32, 32d), and the properties of the boundaries on which the comparison (37) is based include the characteristics by which such boundaries are defined in each of the signals.
- 3 A method according to claim 2, wherein the characteristics include the
- 20 presence of edges.
- 4 A method according to claim 2 or 3, wherein the characteristics include the presence of disparities between frames of the same signal.
- 25 5 A method according to claim 2, 3 or 4, wherein the characteristics include changes in at least one of the properties of: luminance, colour or texture.
- 6 A method according to any of claims 1 to 5, in which the comparison includes a comparison of the perceptibility of corresponding boundaries identified in
- 30 the first and second signals.
- 7 A method according to any preceding claim, in which the comparison of the images includes the steps of

identification (34) of the principal elements in each image, and
compensation (35) for differences in the relative positions of the said
principal elements.

5 8 A method according to any preceding claim, in which the analysis includes
identification of perceptually significant image features, and the output (38) indicative
of the perceptual difference between the first and second signals is weighted (36)
according to the cognitive relevance of such image features.

10 9. A method according to claim 8, in which the perceptually significant image
features are those characteristic of the human face.

10. A method according to claim 9, in which a weighting is applied to the output
according to the significance of the feature in providing visual cues to speech.

15

11 A method according to claim 8, in which the perceptually significant image
features are those by which individual text characters are distinguished.

12 Apparatus for measuring the differences between a first video signal (16) and
20 a second video signal (16d), comprising:

analysis means (31) for the information content of each video signal to
identify the perceptually relevant boundaries of the video images depicted therein;

comparison means (33) for comparing the boundaries so defined in the first
signal (16) with those in the second signal (16d); the comparison including
25 determination of the extent to which the properties of the boundaries defined in the
first image are preserved in the second image,

and means for generating an output (38) indicative of the perceptual
difference between the first and second signals (16, 16d).

30 13. Apparatus according to Claim 12, wherein the analysis means (31) is
arranged to analyse the information content in the signals (16, 16d) for a plurality of
boundary-identifying characteristics (32, 32d), and the comparison means (33) is

arranged to compare the characteristics by which such boundaries are defined in each of the signals.

14 Apparatus according to claim 13, wherein the analysis means (31) includes
5 means to identify the presence of edges.

15. Apparatus according to claim 13 or 14, wherein the analysis means (33) includes means to identify the presence of disparities between frames of the same signal.

10

16. Apparatus according to claim 13, 14 or 15, wherein the analysis means (33) includes means to identify differences in at least one of the properties of: luminance, colour or texture.

15 17 Apparatus according to any of claims 12 to 16, in which the comparison means (33) includes means for determining the perceptibility of the boundaries identified in the first and second signals.

18 Apparatus according to any of claims 12 to 17, in which the comparison
20 means (33) includes image matching means (34) for identification of the principal elements in each image and translation means (35) for effecting translation of one image (16d) to compensate for differences in the relative positions of such elements in the first and second images.

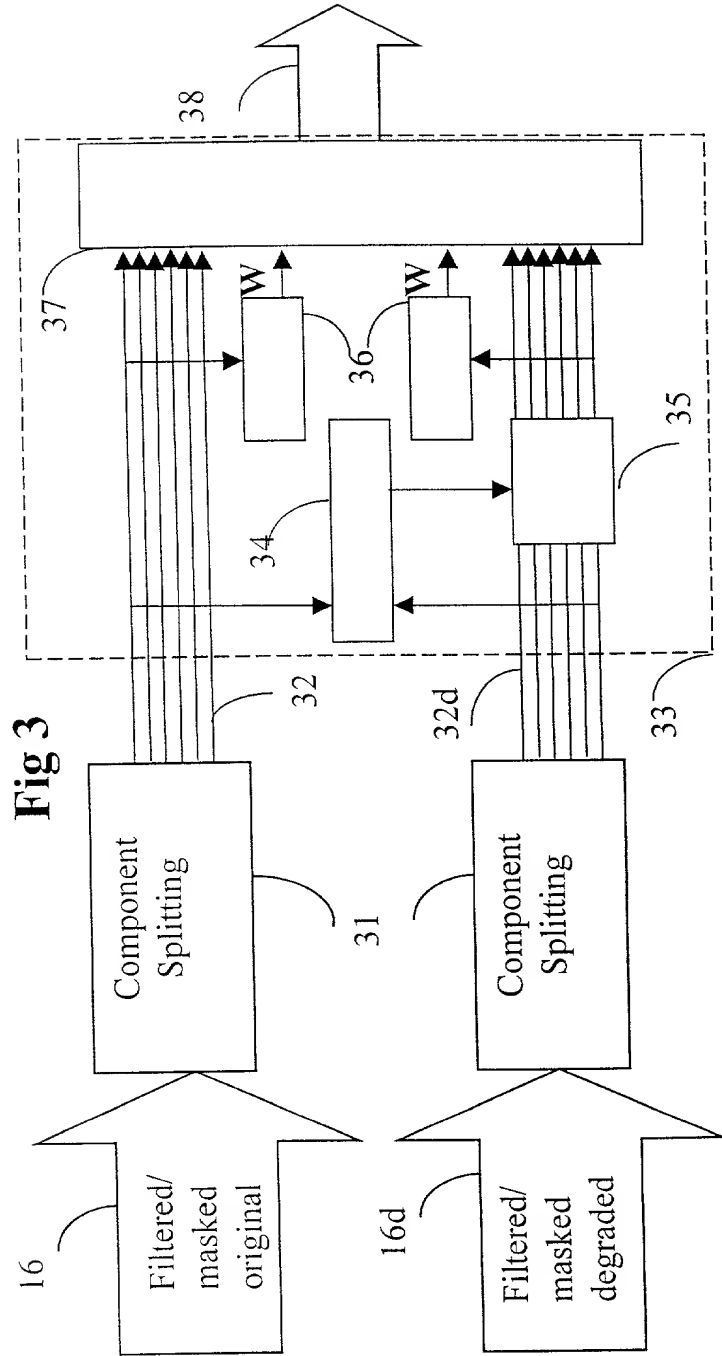
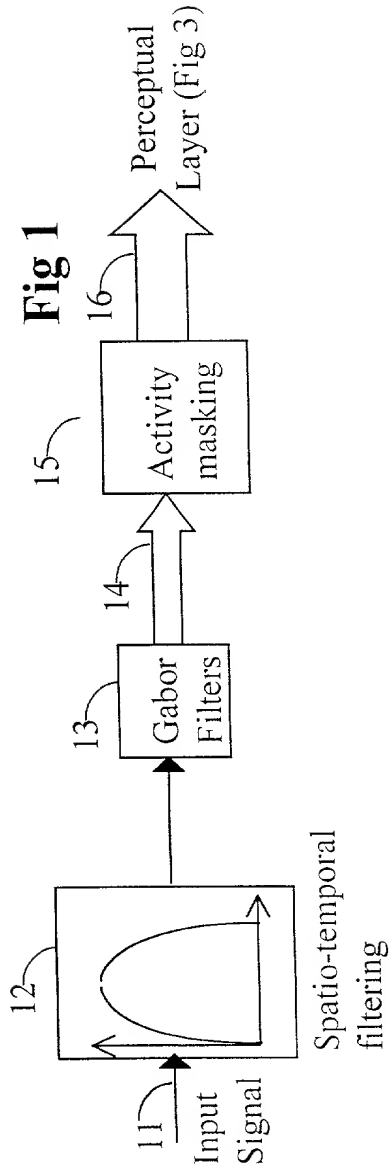
25 19. Apparatus according to any of claims 12 to 18, in which the comparison means (33) includes weighting means (36) for identifying perceptually significant image features in the components (32, 32d), and weighting the output (38) according to the cognitive relevance of such image features.

30 20. Apparatus according to any of claims 12 to 19, further comprising visual stage means (11,12,13,14,15) for processing original input signals (11) to emulate the response of the human visual system, to generate modified input signals (16, 16d) for input to the analysis means (31).

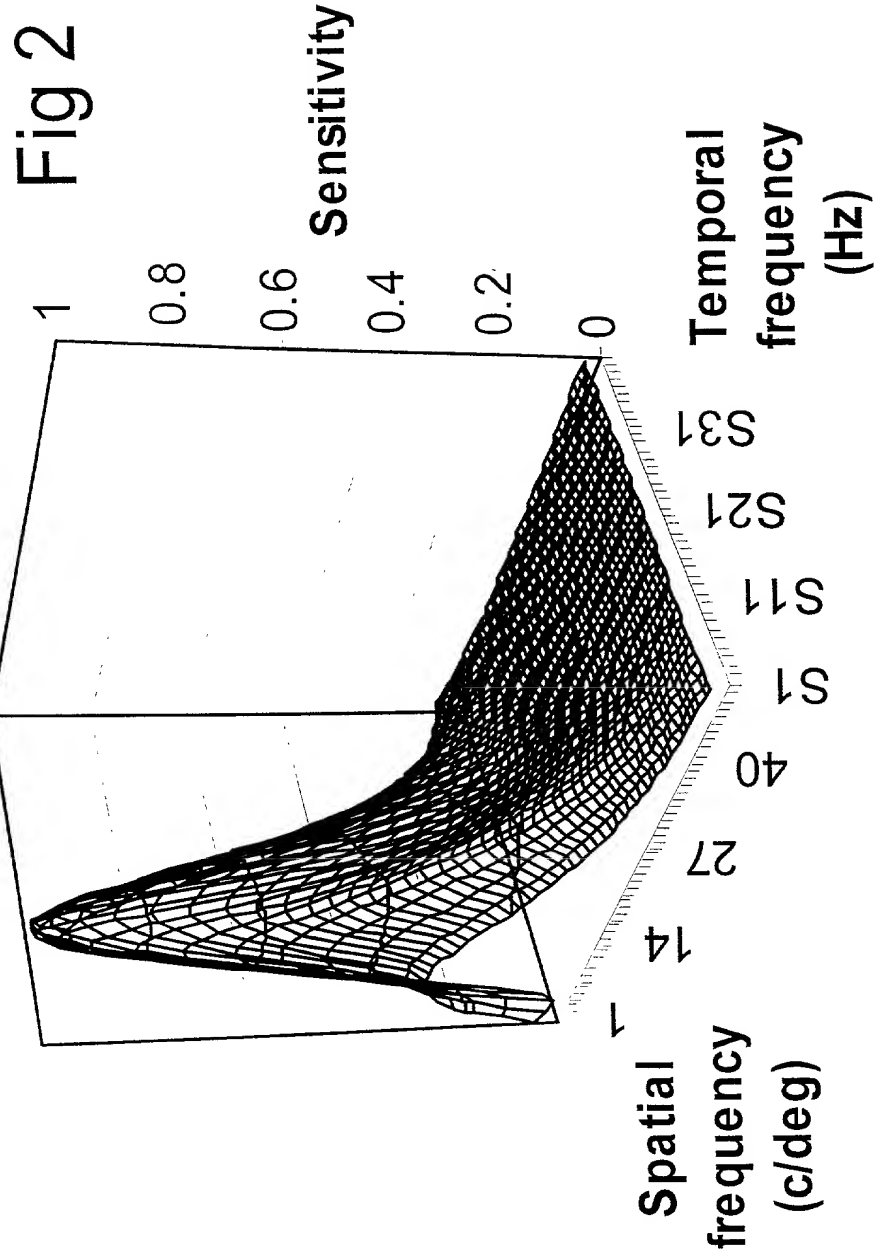
ABSTRACT
ANALYSIS OF VIDEO SIGNAL QUALITY

Two video signals, typically an original signal (16) and a degraded version (16d) of
5 the same signal, are analysed firstly to identify the perceptually relevant boundaries
of the elements forming the video images depicted therein (31). These boundaries are
then compared (33) to determine the extent to which the properties of the boundaries
defined in one image (16) are preserved in the other (16d), to generate an output (38)
10 indicative of the perceptual difference between the first and second signals. The
boundaries may be defined by edges, colour, luminance or texture contrasts,
disparities between frames in a moving or stereoscopic image, or other means. The
presence, absence, difference in clarity or difference in means of definition of the
boundaries is indicative of the perceptual importance of the differences between the
15 signals, and therefore of the extent to which any degradation of the signal (16d) will
be perceived by the human viewer of the resulting degraded image. The results may
also be weighted (36) according to the perceptual importance of the image depicted –
for example the features which identify a human face, and in particular those
responsible for visual speech cues.

20 Figure 3



2/3



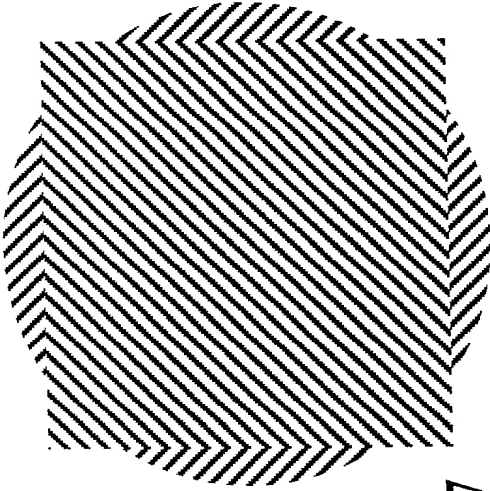
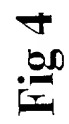


Fig 7

RULE 63 (37 C.F.R. 1.63)
INVENTORS DECLARATION FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ANALYSIS OF VIDEO SIGNAL QUALITY

the specification of which (check applicable box(es)):

☐ is attached hereto
☐ was filed on _____ as U.S. Application Serial No. _____ (Atty Dkt. No. 36-1459)
☒ was filed as PCT International application No. PCT/GB00/00171 on 24 January 2000
and (if applicable to U.S. or PCT application) was amended on _____

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s):

Application Number	Country	Day/Month/Year Filed
9903107.2	Great Britain	11 February 1999
9903293.0	Great Britain	12 February 1999
99304824.8	Europe	18 June 1999

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

Application Number	Date/Month/Year Filed
--------------------	-----------------------

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior applications in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. 1.56 which occurred between the filing date of the prior applications and the national or PCT international filing date of this application:

Prior U.S./PCT Application(s):

Application Serial No.	Day/Month/Year Filed	Status: patented pending, abandoned
PCT/GB00/00171	24 January 2000	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby appoint **NIXON & VANDERHYE P.C., 1100 North Glebe Rd., 8th Floor, Arlington, VA 22201-4714, telephone number (703) 816-4000 (to whom all communications are to be directed)**, and the following attorneys thereof (of the same address) individually and collectively owner's/owners' attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent: Arthur R. Crawford, 25327; Larry S. Nixon, 25640; Robert A. Vanderhye, 27076; James T. Hosmer, 30184; Robert W. Faris, 31352; Richard G. Besha, 22770; Mark E. Nusbaum, 32348; Michael J. Keenan, 32106; Bryan H. Davidson, 30251; Stanley C. Spooner, 27393; Leonard C. Mitchard, 29009; Duane M. Byers, 33363; Jeffrey H. Nelson, 30481; John R. Lastova, 33149; H. Warren Burnam, Jr. 29366; Thomas E. Byrne, 32205; Mary J. Wilson, 32955; J. Scott Davidson, 33489; Alan M. Kagen, 36178; Robert A. Molan, 29834; B. J. Sadoff, 36663; James D. Berquist, 34776; Updeep S. Gill, 37334; Michael J. Shea, 34725; Donald L. Jackson, 41090; Michelle N. Lester, 32331; Frank P. Presta, 19828; Joseph S. Presta, 35329; Joseph A. Rhoa, 37515. I also authorize Nixon & Vanderhye to delete any attorney names/numbers no longer with the firm and to act and rely solely on instructions directly communicated from the person, assignee, attorney, firm, or other organization sending instructions to Nixon & Vanderhye on behalf of the owner(s).

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